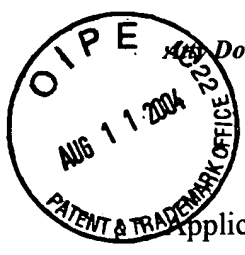


IFW



~~App~~ Docket: 4044-01400

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David L. Dinwoodie  
Serial No.: 10/730,624  
Filed: December 8, 2003  
For: AUCTION SYSTEM FOR REMOTE BIDDING  
AND METHOD

§  
§ Group Art Unit: 3624  
§  
§ Examiner: Not yet assigned  
§  
§ Confirmation No.: 4260  
§

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

I hereby certify that this correspondence and PTO Form 1449 with citations attached, is being deposited with the United States Postal Service by First Class Mail, postage prepaid, addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on

August 6, 2004  
(Date of Deposit)  
Karen A. Harris  
Karen A. Harris

INFORMATION DISCLOSURE STATEMENT

Commissioner:

This Information Disclosure Statement, including completed Form PTO-1449, comprises a list of pertinent art of which Applicant is aware. Copies of the foreign patents and publications listed on Form PTO-1449 are enclosed herewith.

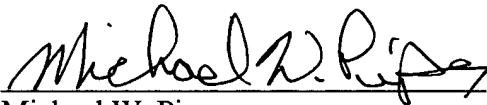
As a part of this submission, an electronic Information Disclosure Statement (eIDS) is being transmitted on this date, and includes a listing of the U.S. Patents. A printed copy of that

submission is attached hereto. In accordance with 37 C.F.R. 1.98(e), no paper copies of those U.S. Patent are enclosed.

The submission of this Information Disclosure Statement and Form PTO-1449 is not an admission that the art cited is "prior" with respect to the present invention, nor is it a representation that no better art exists. Applicant hereby reserves the right to swear behind or otherwise disprove any alleged "prior" nature of any art cited should the facts support and that situation warrant such an action. It is submitted that the art cited does not constitute a bar to the patentability of Applicant's invention under 35 U.S.C. § 102 or § 103.

Respectfully submitted,  
CONLEY ROSE, P.C.

Date: 8/6/2004



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ATTORNEY FOR APPLICANTS



FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE  
(Modified) PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO. 4044-01400

SERIAL NO. 10/730,624

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

APPLICANTS: David L. Dinwoodie

FOR: Auction System for Remote Bidding and Method

FILING DATE 12/08/2003

GROUP 3624

U. S. PATENT DOCUMENTS

Examiner Initial	Patent Number	Issue Date	Patentee	Class	Sub-class	Filing Date (If Appropriate)

FOREIGN PATENT OR PUBLISHED FOREIGN PATENT APPLICATION

	Document Number	Publication Date	Country or Patent Office	Class	Sub-Class	Translation	
						Yes	No
	WO 9215174	09/03/92				X	
	WO 9737315	10/09/97				X	
	9,300,266	09/01/94	Netherlands				X
	2,658,635	08/23/91	France				X
	43 20 806	01/05/95	Germany				X

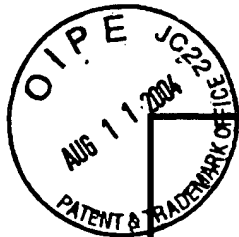
OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

	Patent Abstracts of Japan Publication No. 09-006871, 10.01.1997, <i>Auction System and Terminal Device Using Communication Line</i>
	Patent Abstracts of Japan Publication No. 10027, 10.07.96, <i>Video Auction System</i>
	Gorda, B. and Wilson, Gregory, "Building and Running Online Auctions," Dr. Dobb's Journal, October 1997, Vol. 22, No. 10, pp. 84, 86-88, 91.

Examiner

Date Considered

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



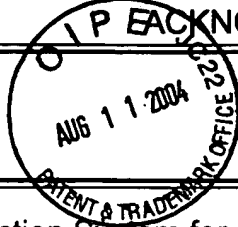
<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)		Application Number	10/730,624
		Filing Date	December 8, 2003
		First Named Inventor	David L. Dinwoodie
		Group Art Unit	3624
		Examiner Name	Not yet assigned
Total Number of Pages in This Submission	144	Attorney Docket Number	4044-01400

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment/Reply  <input type="checkbox"/> After Final  <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/ Incomplete Application  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert to a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Technology Center (TC)  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <ul style="list-style-type: none"><li>• Acknowledgment Postcard</li><li>• Transmittal Letter</li><li>• Copies of cited references</li><li>• Copy of eIDS Acknowledgement Receipt</li></ul>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm Or Individual Name	Michael W. Piper, Reg. No. 39,800	
Signature		
Date	8/6/2004	
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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.		
Typed or Printed Name	Karen A. Harris	
Signature		Date August 6, 2004

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P. O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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UNITED STATES PATENT AND TRADEMARK OFFICE  
EIP ACKNOWLEDGEMENT RECEIPTElectronic Version 1.1  
Stylesheet Version v1.1.1

## Title of Invention

Auction System for Remote Bidding and Method

Submission Type: Information Disclosure Statement

Application Number: 10/730624

\*10/730624\*

EFS ID: 66180

Server Response:

Confirmation Code	Message
ISVR1	Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application
ICON1	4260
USPTOEFSNotice	For assistance with e-filing a patent application, contact the Patent Electronic Business Center: Toll-Free Number: 1(866) 217-9197 Website: <a href="http://www.uspto.gov/ebc/">http://www.uspto.gov/ebc/</a>

First Named Applicant: David Dinwoodie

Attorney Docket Number:

Timestamp: 2004-08-06 16:55:33 EDT

From: us

File Listing:

Doc. Name	File Name	Size (Bytes)
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us-ids	us-ids.dtd	7763
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package-data	us-package-data.xsl	19263
Total files size		70664

Message Digest: 7347ee2713f85321f75add95bca7b455cb98d739

Digital Certificate Holder Name: cn=Michael W. Piper,ou=Registered Attorneys,ou=Patent and Trademark Office,ou=Department of Commerce,o=U.S. Government,c=US

**ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

Electronic Version v18

Stylesheet Version v18.0

**Title of Invention****Auction System for Remote Bidding and Method**

Application Number: 10/730624

\*10/730624\*

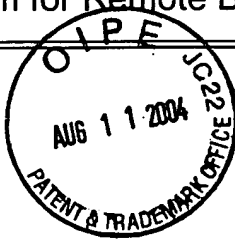
Confirmation Number: 4260

First Named Applicant: David Dinwoodie

Attorney Docket Number:

Art Unit: 3624

Search string: ( 5561707 or 5740240 or 5774873 or 5794219 or 5815551 or 5818914 or 5835896 or 5890138 or 5905975 or 6012045 ).pn.

**US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	5561707	1996-10-01	Katz		379	88
	2	5740240	1998-04-15	Jolissaint		379	265
	3	5774873	1998-06-30	Berent, et al		705	26
	4	5794219	1998-08-11	Brown		705	37
	5	5815551	1998-09-29	Katz		379	88.19
	6	5818914	1998-10-06	Fujisaki		395	212
	7	5835896	1998-11-10	Fujisaki		379	93.12
	8	5890138	1999-03-30	Godin, et al		705	26
	9	5905975	1999-05-18	Ausubel		705	37
	10	6012045	2000-01-04	Barzilai, et al		705	37

**Signature**

Examiner Name

Date